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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q78028

Yasushi HATTORI, et al.

Appln. No.: 10/687,961

Group Art Unit: 1773

Confirmation No.: 8652

Examiner: Holly C. Rickman

Filed: October 20, 2003

For: MAGNETIC PARTICLE-COATED MATERIAL, MAGNETIC RECORDING MEDIUM,  
ELECTROMAGNETIC SHIELD MATERIAL, AND METHODS OF  
MANUFACTURING SAME

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby  
notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached  
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem  
material to patentability of the claims of the above-identified application.

<u>Serial No.</u>	<u>Applicant's Name</u>	<u>Filing Date</u>
10/895,938	HATTORI et al.	July 22, 2004 <sup>1</sup>
10/895,940	HATTORI et al.	July 22, 2004 <sup>2</sup>

<sup>1</sup> Published as US 2004/0261905 A1

<sup>2</sup> Published as US 2004/0261906 A1

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (European Search Report for EP 03 01 2141 dated February 1, 2006), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Additionally, Applicants enclose an English language Abstract for JP 3-169001, JP 2001-256631 and DE 197 07 754 A1.

Also, in compliance with the concise explanation requirement under 37C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

U.S. Patent No. 5,735,969 corresponds to DE 197 07 754 A1.

U.S. Patent Application Publication No. 2003/0059604 was previously disclosed in the Information Disclosure Statement filed August 18, 2005.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: April 27, 2006





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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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